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308 C 9030 The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the Invention. While this example is in the form of a declaration, a sworn document is equally acceptable. avi ert ((We) citizens of residing at That I (we) made and conceived the Invention described and claimed in patent application: filed in the United States of America on February 20, 2004 Serial Number FOR THE CONTROL OF TRAHENG-WAKE illed APPARATUS AND andloc IV balow 28 appropriate) (Check and complete either I or II below) [6]. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by Boeing Company That to the best of my (our) knowledge and belief: by Boeing Company A III. The invention was not include or conceived in the the invention is related to the work i am (we are) employed course of, or in connection with; or under the terms of any to perform and was made within the scope of my (our) contract, subcontractor arrangement entered into with or for the benefit of the United States Atomic Energy employment duties; That the invention was made during Commission or its successors: Energy Research and working hours and with the use of facilities, equipment, Development Administration or the Department of Enmaterials, funds, information and services of ergy. Other relevant (remo d'afficient) facts are AND/OR-IV. The invention was not made (conceived or first ac-That to the best of my (our) knowledge and belief (and/or) fually reduced to practice) under nor is there any relationbased upon information provided by_ ship of the invention to the performance of anywork under any contract of the National Aeronautics and Space Administration. ☐ II. (For Self-Employed-Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true with the knowledge that willful false statments and the like so made are punishable by fine or imprisonment; or both, under Section 1001 of Title 18 of the United States Code and that Spich willful false statements may jeopardize the validity of the application or any patent laguing thereon inventore. Signature: Post Office Address: Date: inventor's Signature: Post Office Address: Date:

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The following is an example of an acceptable property is suitable for situations in which NO Agency funds or other of the invention. While this example is in the form of a	ghis statement: Statements of this type are, of course, only er considerations were involved in the making or conception declaration, a sworn document is equally acceptable.
(We) Robert Ponziani and Roy Earwo	ood
citizens of United States	
*** <u></u>	nester OH and 1315 Willow Forge, Lebanon
declare:	OH OH
That I (we) made and conceived the invention described	and claimed in patent application:
Serial Number 10/775, 864 filed in the U	United States of America on 02/10/04
titled Method, of Informing Pilot. of A	
Turbine Engine	Indiate of Spark Detected in Gas
(Check and complete either I or II below)	(Check III and/or IV balow as appropriate)
(Ve) made and conceived this invention while employed by _General Electric Company That	That to the best of my (our) knowledge and belief:
the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of General Electric Company Other relevant	
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of .	ship of the invention to the performance of any work under any contract of the National Aeronautics and Space Ad-
—OR—	ministration.
III. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are	2004 2004
and that all statements made on information and belief are be with the knowledge that willful false statments and the like so	nts made herein of his or her (their) own knowledge are true blieved to be frue and further that these statements are made made are punishable by fine or imprisonment, or both; under such willful false statements may jeopardize the validity of
nventor's Signature: A Du Hongan	Robert Ponzieni
Post Office Address: 8793 Rambling Ridge V	est Chester OH 45069
Mars 18 2004	
Date: CC	
nventor's Signature: X D Zamoo Z	Roy Earwood
Post Office Address: 1315 Willow Forge Ct.,	Lebanon, OH 45036
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SERIAL NUMBER FIUNG DATE FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/784,067 02/20/04 SHMILOVICH, ET AL. P114660

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HUGHES LAW FIRM, PLLC PACIFIC MERIDIAN PLAZA SUITE 302 4164 MERIDIAN STREET BELLINGHAM, WA 98226-5583

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EXAMINER

ART UNIT PAPER NUMBER

DATE MAILED:

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LICENSING & REVIEW

IF NO RESPONSE TO THIS NOTICE IS RECEIVED WITHIN FORTY-FIVE DAYS, A FORMAL REQUIREMENT WILL BE ISSUED

The subject matter of this application appears to:

be "useful in the production or utilization of special nuclear material or atomic energy" as recited in 42 U.S.C. 2182 (Department of Energy (DOE)).

have significant utility in the conduct of aeronautical and space activities as recited in 42 U.S.C. 2457 (National Aeronautics and Space Administration (NASA)).

Accordingly, no patent can issue on this application unless applicant(s) file a statement (under oath or in the form of a declaration as provided by 37 CFR 1.68) setting forth (1) the full facts concerning the circumstances under which the invention was made and conceived and (2) the relationship (if any) of the invention to the performance of any work under any contract or other arrangement with the Agency (ies) noted above. On the reverse side of this form is an example of an acceptable format for this statement. The language appearing in paragraphs III and/or IV of the example must appear if applicant is attempting to establish that no relationship (under item 2 above) exists.

If the invention disclosed in this application was developed under a contract, grant or cooperative agreement between the Agency indicated above and a person, small business or non-profit organization and rights to the invention have been determined by specific reference to 35 U.S.C. 202 in the contract, grant or cooperative agreement, then applicant need not submit the statement described above. Instead, applicant may file a verified statement (under oath or in the form of a declaration, 37 CFR 1.68) setting forth the information required by 35 U.S.C. 202(c)(6).

IF NO STATEMENT HAS BEEN RECEIVED WITHIN FORTY-FIVE DAYS OF THE MAIL DATE INDICATED ABOVE, a formal requirement for statement will then be issued. No provision is made for extension of the statutory thirty-day period for response to the formal requirement and the penalty for failure to file an acceptable and timely statement is abandonment of the application. Therefore, applicants are strongly encouraged to submit a statement at this time in order to avoid the issuance of a formal requirement.

IT IS IMPORTANT TO NOTE that the statement must accurately represent the property rights situation of the claimed invention if and when the application is found allowable. Thus, if during prosecution before the examiner, the claimed invention is so altered or the property rights situation so changed as to impact the accuracy of a statement submitted earlier, a supplemental statement must be filed. Failure to submit such additional information where appropriate may be considered a false representation of material facts and render the patent owner vulnerable to loss of patent rights and other sanctions as set torth in the statutes. The PTO will not review allowed applications for this possibility. The responsibility for complying with the statutes rests with the applicants.

Any questions regarding this requirement should be directed to Licensing and Review at 1706

0703305-0241

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FORM PTOL-456

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HUGHES LAW FIRM, PLLC

A PROFESSIONAL LIMITED LIABILITY COMPANY

ROBERT B. HUGHES MICHAEL F. HUGHES 4164 MERIDIAN STREET, SUITE 302 BELLINGHAM, WA 98225-5583 (360) 647-1296 1-888-647-1296 FAX: (360) 671-2489

PATENT, TRADEMARK & COPYRIGHT LAW

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June 07, 2004

TO:

ATTENTION LICENSING AND REVIEW

Patent and Trademark Office

FAX NUMBER:

(703) 305-6384

FROM:

Robert B. Hughes/Carole Petralli

SUBJECT:

STATEMENT signed by Inventors

S.N.: 10/784,067

Filing Date: February 20, 2004

Matter Title: APPARATUS AND METHOD FOR THE

CONTROL OF TRAILING WAKE FLOWS

Our Matter ID:

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P114660

NUMBER OF PAGES:

(cover sheet included)

MESSAGE: There are four inventors, they each signed a separate page.

CONFIRMATION OF RECEIPT OF THIS FAX WOULD BE MUCH APPRECIATED!

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